

Aditya Birla Finance Ltd.

(A part of Aditya Birla Capital Ltd.)



ADITYA BIRLA CAPITAL

PROTECTING INVESTING FINANCING ADVISING

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity (HVDLE) – Aditya Birla Finance Limited
2. Quarter ending – December 31, 2021

Title (Mr / Ms)	Name of the Director	PAN\$ & DIN	Category (Chairperson/ Executive / Non-Executive / independent / Nominee) &	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure* (in months)	Date of Birth	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] #	No of Independent Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Ajay Srinivasan	AAIPS 1096G 001211 81	Non-Executive - Non Independent Director	31-07-2007	-	-	-	02-11-1963	1	0	6	0
Mr.	Darius Kakalia	AIRPK 5683Q 000291 59	Non-Executive - Independent Director	15-03-2001	09-03-2018	-	249	19-12-1948	0	0	4	0
Mr.	Jitender Balakrishnan	AEIPB 9233Q 000283 20	Non-Executive - Independent Director	20-07-2010	09-03-2018	-	137	08-05-1949	3	3	4	1

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care.finance@adityabirlacapital.com | <https://abfl.adityabirlacapital.com>

Registered Office:

Indian Rayon Compound, Veraval,
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Mr.	Ashwani Puri	AAAPP 3876F 001606 62	Non- Executive - Independe nt Director	13-09- 2010	09-03- 2018	-	135	16-11- 1956	2	2	0	3
Ms.	Alka Bharucha	AABPB 5063D 001140 67	Non- Executive - Independe nt Director	27-03- 2015	28-08- 2018	-	81	06-03- 1957	6	6	6	4
Mr.	Subhash Chandra Bhargava	AACPB 7884P 000200 21	Non- Executive - Independe nt Director	25-01- 2019	-	-	35	20-07- 1945	2	2	3	1
Mr.	Kamlesh Rao	ACLPR 7733G 076656 16	Non- Executive - Non Independe nt Director	07-07- 2021	-	-	-	11-04- 1970	0	0	1	0
Mr.	Rakesh Singh	AGTPS 2396E 070060 67	Managing Director & Chief Executive Officer	23-07- 2019	-	-	-	22-09- 1966	0	0	1	0

Whether Regular chairperson appointed - No

Whether Chairperson is related to managing director or CEO - No

[§]PAN of any director would not be displayed on the website of Stock Exchange

[&]Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

^{*} to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

[#] Aditya Birla Finance Limited is not counted as a listed entity as per Explanation to Reg. 17A

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II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)[§]	Date of Appointment	Date of Cessation
Audit Committee	Yes	Mr. Ashwani Puri	Chairman - Non-Executive - Independent Director	21-01-2011	-
		Mr. Darius Kakalia	Non-Executive - Independent Director	09-04-2001	-
		Mr. Ajay Srinivasan	Non-Executive - Non Independent Director	31-07-2007	-
		Ms. Alka Bharucha	Non-Executive - Independent Director	04-02-2021	-
Nomination and Remuneration Committee	Yes	Mr. Jitender Balakrishnan	Chairman - Non-Executive - Independent Director	07-01-2015	-
		Mr. Ajay Srinivasan	Non-Executive - Non Independent Director	07-01-2015	-
		Mr. Darius Kakalia	Non-Executive - Independent Director	07-01-2015	-
Risk Committee	Yes	Mr. Jitender Balakrishnan	Chairman - Non-Executive - Independent Director	21-01-2011	-
		Mr. Subhash Chandra Bhargava	Non-Executive - Independent Director	04-02-2021	-
		Mr. Ajay Srinivasan	Non-Executive - Non Independent Director	27-07-2011	-
		Mr. Rakesh Singh	Managing Director & Chief Executive Officer	27-07-2011	-
		Mr. A Dhananjaya	Not a Director	25-07-2008	-
		Mr. Tushar Shah	Key Managerial Personnel & Chief Executive Officer – PSFG (Not a Director)	18-01-2013	-
		Mr. Sekhar Mosur	Not a Director	18-01-2013	-
		Mr. Ajay Singh	Not a Director	18-01-2013	-
Stakeholders Relationship Committee	Yes	#			
		Mr. Darius Kakalia	Non-Executive - Independent Director	25-01-2019	
		Mr. Rakesh Singh	Managing Director & Chief Executive Officer	04-02-2021	

[§]Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
[#] The Company is yet to fill up this vacancy

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III. Meeting of Board of Directors						
Sr	Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (Yes/No)	Number of Directors present* (total)	No. of Independent Directors present*	Maximum gap between any two consecutive (in number of days)
1	04-08-2021	-	Yes	8	5	50
2	-	08-10-2021	Yes	7	5	64
3	-	30-10-2021	Yes	6	4	21

*to be filled in only for the current quarter meetings

IV. Meetings of Committees						
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)* (Yes/No)	Number of Directors present* (total)	No. of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)*
Audit Committee	-	Yes	4	3	04-08-2021	82
Audit Committee	-	Yes	4	3	27-09-2021	53
Audit Committee	30-10-2021	Yes	3	2	-	32
Nomination and remuneration Committee	-	Yes	3	2	04-08-2021	83
Nomination and remuneration Committee	-	Yes	3	2	28-09-2021	54
Nomination and remuneration Committee	08-10-2021	Yes	3	2	-	9
Risk Management Committee	19-10-2021	Yes	4	2	-	112

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional
**to be filled in only for the current quarter meetings

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V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2. If status is "No" details of non-compliance may be given here.

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee (has two Independent Directors of which the tenure of one Independent Director has ended on July 27, 2021 and the Company is yet to fill up the said vacancy)
 - Risk management committee (as applicable) (comprises of 50% Directors and 50% senior management)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. – Yes

For Aditya Birla Finance Limited

Ankur Shah
Company Secretary & Compliance Officer
January 14, 2022

Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.